



Shropshire Safeguarding
Community Partnership

Risk Management Framework

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Strategic Risk Register

The Strategic Risk Register is a means by which the safeguarding partners can identify and manage the substantive issues which could impact negatively on the delivery of the Partnership's purpose and priorities. The register is reviewed and updated in sequence with the Operational Leadership and Strategic Governing Groups, or when necessary. This document helps to demonstrate appropriate management and mitigations of these risks to ensure the Partnership fulfils its Statutory Duties. This will be reviewed in light of the passing of the Health and Social Care Act through parliament in 2022 and the development of the Integrated Care System and any other relevant legislation.

The risks identified within the risk register, if not mitigated, could lead to the inability to effectively evaluate the effectiveness of what is done by the local authority and Safeguarding Partners individually, and collectively, to help and protect children, families, adults with care and support needs and communities. It is therefore imperative that there is full engagement and adoption of the Shropshire Safeguarding Community Partnership Risk Management Framework in order to ensure robust management of risk and appropriate escalation and reporting is in place.

Process

Risks will be subject to monitoring and review. Risk must be a standing agenda item for all meetings throughout the Partnership structure. Any new risks identified, or risks requiring escalation, will be recommended by the Strategic Priority and System Groups and will be reported to the Operational Leadership Group by the Chairs in accordance with the risk tolerance levels as detailed below for their agreement. The Operational Leadership Group (or Strategic Governing Group) will consider the inclusion of these risks in the strategic risk register and agree if these are to be included and therefore managed at this level, or recommend that the risk should be managed at the priority group level through their Business Plans.

Risks will be reported to the Strategic Governing Group every four months. It should include detail of all risks above our tolerance levels (i.e. high and medium risks – see below) and will also include the current status of risks and the direction of travel.

Risk Tolerance

For Risk Management to be effective, a suitable approach must be decided upon. An important factor in this approach must be the appetite for risk that the Partnership shows. We want to manage risk to add value, achieve our priorities and fulfil our statutory duties.

We are not able to eliminate all risk but reduce risk to a level that the Partnership is prepared to tolerate. This will vary depending on the Partnership's current 'risk appetite' and is defined by us setting our 'Risk Tolerance Level'. The level essentially acts as a trigger, with risks above this level attracting appropriate effort and resources in an effort to reduce it to below this level. This trigger therefore acts as a management indicator, with greater levels of monitoring being required for

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these risks than those below it. In addition to this upper level, it is also appropriate to set a lower level trigger called the 'Risk Acceptance Level'. Any risks assessed as being below this level should attract minimal effort and resources. This helps ensure that resources are not wasted trying to reduce risks unnecessarily. The Partnership will review these levels on a regular basis.

Risk Tolerance Level									
	IMPACT	5	L	M	H	H	H		
		4	L	M	M	H	H		
Risk Acceptance Level		3	VL	L	M	M	H	Risks above this level attract appropriate resources and attention	
		2	VL	VL	L	M	M		↑
		1	VL	VL	VL	L	L		
			1	2	3	4	5		
			LIKELIHOOD						
								↓	
								Risks below this level attract minimum resources and attention	

15 - 25	High	Monitored closely in the Operational Leadership Group and escalated to the Strategic Priority Group if necessary.
8 - 12	Medium	Included in Partnership Strategic Risk Register if agreed and managed accordingly by Operational Leadership and Strategic Governing Groups.
4 - 6	Low	Monitored and managed in Strategic Priority and System Groups
1 - 4	Very Low	

For the purpose of risk management, risk is categorised according to the likelihood of a risk occurring and the impact from the realisation of the risk. The matrix and definitions as detailed in Appendix A below are a commonly used means of quantifying risks according to this principle and have been adapted to meet the Partnership's needs.

Risk Matrix

IMPACT	5	L	M	H	H	H
	4	L	M	M	H	H
	3	VL	L	M	M	H
	2	VL	VL	L	M	M
	1	VL	VL	VL	L	L
		1	2	3	4	5
		LIKELIHOOD				

HIGH
MEDIUM
LOW
VERY LOW

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Risk Definitions

Likelihood	Score	Risk Likelihood Definition
Rare	1	It is unlikely that the event will occur.
Possible	2	It is possible that this event will occur but not within the next year.
Likely	3	There is a likelihood (50:50) that this even will occur within the next year.
Almost Certain	4	The event will almost certainly occur within the next six months.
Certain	5	The event has occurred or will almost certainly occur within the next three months.

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Impact	Score	Risk Impact Definition
Negligible	1	Day to day operational problems Budgetary issues that can be resolved within Partnership
Minor	2	Manageable disruption to Partnership Noticeable internal impact, but the Partnership would remain on course to achieve priorities Delay to delivery of Partnership objectives Budgetary issues that can be resolved within contract manager level Localised reputational damage Isolated complaints Minor Injury to employees
Moderate	3	Moderate loss, delay or interruption to Partnership Disruption to Partnership for less than 7 days (e.g. cyber incident, covid response, flooding) Non-delivery of Partnership plan objectives Moderate stakeholder unmitigated concern Attracting short term media attention and potential for litigation/ prosecution from legislative or regulatory bodies Adverse coverage in National Press/Front page news locally Budgetary issues that can be resolved at Partnership Management Team. Moderate complaints of the operation of the partnership
Major	4	Widespread medium to long term impact on operational efficiency, performance and reputation Major disruption to Partnership for more than 7 days (e.g. cyber incident, covid response, flooding) Breach of legal or contractual obligation attracting medium-term attention of legislative or regulatory bodies Major adverse media interest at regional and local level Budgetary issues that can only be resolved by Executive team
Critical	5	Potential to threaten the existence of the Partnership Inability for Partnership to function effectively Serious breach of legal or contractual obligation resulting in National impact with rapid intervention of legislative or regulatory bodies Extensive adverse media interest at national level Budgetary intervention at national level